

Heights of AVL Trees

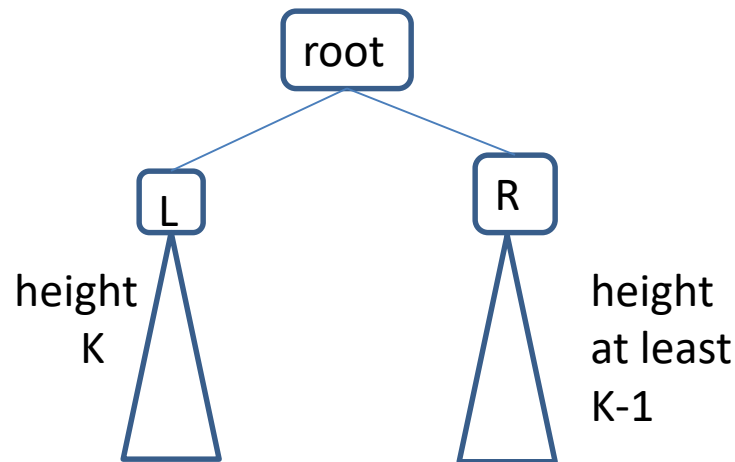
How do we know AVL trees have $O(\log(n))$ operations?

Theorem: An AVL tree with height H has at least $\text{Fib}(H+3)-1$ nodes, where $\text{Fib}(\)$ is the Fibonacci function -- $\text{Fib}(0)=0$, $\text{Fib}(1)=1$, $\text{Fib}(2)=1$, $\text{Fib}(3) = 2$, $\text{Fib}(4) = 3$, $\text{Fib}(5) = 5$, $\text{Fib}(6)=8$, etc.

Proof: This is true for small values of H . If $H=0$ the tree has one node, and $\text{Fib}(3)-1 = 1$. If $H=1$ the tree must have at least 2 nodes and $\text{Fib}(4)-1 = 2$.

This is the base case. We'll do the inductive case on the next slide.

Suppose the theorem is true for $H = 0, 1, 2, \dots, K$ and we have an AVL tree with height $K+1$. It must look like this:



One of the subtrees of the root must have height K ; the other must have height at least $K-1$ or the root won't satisfy the AVL property. By the inductive hypothesis the taller subtree has at least $\text{Fib}(K+3)-1$ nodes and the smaller at least $\text{Fib}(K+2)-1$ nodes.

Altogether we have 1 (for the root) + Fib(K+3)-1 + Fib(K+2)-1 nodes. This simplifies to Fib(K+3)+Fib(K+2)-1, which is Fib(K+4)-1. This is what the theorem says for H = K+1. So if the theorem is true for all of the numbers up to K, it must be true for K+1 as well. This means it is true for all numbers.

Now, how big is Fib(H+3)-1?? One can show that

$$\text{Fib}(n) = \frac{A^n - B^n}{\sqrt{5}} \quad \text{where } A = \frac{1 + \sqrt{5}}{2} \approx 1.62 \text{ and } B = \frac{1 - \sqrt{5}}{2} \approx -0.62$$

For large values of n Bⁿ will be near 0, so Fib(n) is very close to (Aⁿ)

Our theorem says that the number of nodes is exponential in the height, so the height is logarithmic in the number of nodes, i.e.

$$\text{Height} = O(\log(n))$$

Since all of our operations are implemented by walking from the root to a leaf and back, this means that `find()`, `insert()` and `remove()` are all $O(\log(n))$ operations in an AVL tree.